

Attorney's Docket No.: 14173-002001

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Jayendu Patel

Serial No. : 10/643,439

Filed : August 19, 2003

Title : STATISTICAL PERSONALIZED RECOMMENDATION SYSTEM

Art Unit : 3623

Examiner : Tamara L. Graysay

MAIL STOP AMENDMENT

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Applicants request consideration of the references listed on the attached PTO-1449 form. Under 37 C.F.R. § 1.98 (a)(2)(ii), only copies of foreign patent documents and/or non-patent literature are enclosed. Copies of any listed U.S. patents or U.S. patent application publications can be provided upon request.

This statement is being filed after a first Office action on the merits, but before receipt of a final Office action or a Notice of Allowance. Please apply the \$180 payment of the late submission fee of §1.17(p) and any other charges or credits to Deposit Account No. 06-1050.

Respectfully submitted,

Date:

Jan. 10, 2006J. Robin Rohlicek

J. Robin Rohlicek, J.D., Ph.D.

Reg. No. 43,349

Fish & Richardson P.C.

225 Franklin Street

Boston, MA 02110

Telephone: (617) 542-5070

Facsimile: (617) 542-8906

21224575.doc

CERTIFICATE OF TRANSMISSION BY FACSIMILE

I hereby certify that this correspondence is being transmitted by facsimile to the Patent and Trademark Office on the date indicated below.

January 12, 2006

Date of Transmission

Cassie Chandler

Signature

Cassie Chandler

Typed or Printed Name of Person Signing Certificate

Sheet 1 of 1

Substitute Form PTO-1449 (Modified) Information Disclosure Statement by Applicant (Use several sheets if necessary) (37 CFR §1.98(b))	U.S. Department of Commerce Patent and Trademark Office	Attorney's Docket No. 14173-002001	Application No. 10/643,439
		Applicant Jayendu Patel	
		Filing Date August 19, 2003	Group Art Unit 3623

Other Documents (include Author, Title, Date, and Place of Publication)		
Examiner Initial	Desig. ID	Document
	AU	B.P.S. Murthi and Sumit Sarkar, "The role of the Management Sciences in Research on Personalizaiton", Management Science, Informs, Vol. 49, No. 10, pp. 1344-1362, October 2003
	AV	
	AW	
	AX	

Examiner Signature	Date Considered
EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	

Substitute Disclosure Form (PTO-1449)